

Visualizing Automorphisms of Graph Algebras

James Emil Avery, Rune Johansen, and Wojciech Szymański

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Abstract

Graph C^* -algebras have been celebrated as C^* -algebras that can be seen, because many important properties may be determined by looking at the underlying graph. This paper introduces the permutation graph for a permutative endomorphism of a graph C^* -algebra as a labeled directed multigraph that gives a visual representation of the endomorphism and facilitates computations. Combinatorial criteria have previously been developed for deciding when such an endomorphism is an automorphism, but here the question is reformulated in terms of the permutation graph and new proofs are given. Furthermore, it is shown how to use permutation graphs to efficiently generate exhaustive collections of permutative automorphisms. Permutation graphs provide a natural link to the textile systems representing induced endomorphisms on the edge shift of the given graph, and this allows the powerful tools of the theory of textile systems developed by Nasu to be applied to the study of permutative endomorphisms.

1 Introduction

The aim of this paper is to introduce a class of labeled directed multigraphs – called permutation graphs – which provide a new powerful tool for the study of permutative endomorphisms of graph C^* -algebras. This facilitates an intuitive interpretation of the results given in [3] and connects graph C^* -algebra theory to the theory of textile systems developed by Nasu [24] for the investigation of shift space endomorphisms and automorphisms.

In [11], Cuntz introduced the Weyl group of the simple purely infinite C^* -algebras \mathcal{O}_n . It arises as the quotient of the normalizer of a maximal Abelian subgroup of the C^* -algebra automorphism group. An important subgroup of the Weyl group corresponds to those automorphisms that globally preserve the canonical UHF-subalgebra of \mathcal{O}_n . Cuntz raised the question of how one determines the structure of this subgroup, and this was answered in Reference [4]. In [3], this program was taken one step further by expanding it to a much wider class of graph C^* -algebras. In this paper, it is shown how permutative endomorphisms can be represented by labeled directed multigraphs, and it is shown how properties of such a permutation graph can be used to determine whether the corresponding permutative endomorphism is an automorphism.

Permutative endomorphisms of graph C^* -algebras and especially of the Cuntz algebras \mathcal{O}_n have already received considerable attention and have been studied in several different contexts. In particular, localized endomorphisms (a class of endomorphisms that includes permutative ones) of the Cuntz algebras were investigated in the framework of the Jones index theory in [16, 22, 6, 8, 7, 14]. Similar investigations of localized endomorphisms of the Cuntz-Krieger algebras were carried out in [17]. Voiculescu's entropy and related properties of permutative endomorphisms of the

Cuntz algebras were studied in [27, 26]. Such endomorphisms play a role in the approach to wavelet theory via representations of the Cuntz algebras taken in [2]. An intriguing connection between permutative automorphisms of the Cuntz algebra \mathcal{O}_n and automorphisms of the full two-sided n -shift was found in [4]. An interesting combinatorial approach to permutative endomorphisms of \mathcal{O}_n was presented in [18].

In Section 2, background information, definitions, and terminology are given, followed by a definition of permutation graphs and an examination of their properties in Section 3. Here, a new proof is given of the result from [3], yielding automorphism conditions for permutative endomorphisms that are formulated directly in terms of their permutation graphs. A connection is shown between permutation graphs and Nasu's textile systems, allowing the computational methods of textile systems to be used in the analysis of permutative endomorphisms. In Section 4, an algorithm is presented that serves to exhaustively construct all permutation graphs corresponding to permutative automorphisms. In Section 5, inner equivalence of permutative automorphisms is linked to the behavior of the corresponding permutation graphs as dynamical systems. An order of the vertices and edges of a permutation graph is introduced, and used to construct representations of classes of automorphisms that are inner equivalent through permutative unitaries. These representations are textile systems, allowing the machinery developed in [24] to be applied to computations involving such equivalence classes. In Section 6, an algorithm is presented that allows efficient exhaustive construction of inner equivalence classes of permutative automorphisms. The methods of Sections 4–6 have been implemented in a set of computer programs, which are applied to a particular graph C^* -algebra in Section 7 and to certain Cuntz algebras in Section 8. The latter application confirms the results of [9] and serves to illustrate how the presented methods outperform previously used algorithms.

The connection to the theory of textile systems, which this paper opens, paves the way for an efficient search for permutative automorphisms using computer programs. First of all, the algorithms presented in Section 4 and 6 drastically reduce the number of cases one needs to examine in an exhaustive search for (equivalence classes of) permutative automorphisms. Secondly, the conditions introduced in Section 3 – guaranteeing that a permutative endomorphism is an automorphism – can be efficiently tested using an algorithm that will be presented in a forthcoming paper. Finally, in collaboration with Brendan Berg, the first and second authors have developed a number of practical algorithms for computations on textile systems that allow one to efficiently investigate the order of a given permutative automorphism. A forthcoming paper will apply these techniques to an investigation of concrete permutative automorphisms like the ones identified in Section 7.

Although we study endomorphisms of graph C^* -algebras, which are analytic in nature, our approach relies only on discrete and combinatorial properties. Therefore we believe that the results of this paper after small modifications may be applicable to purely algebraic objects. In particular, Proposition 3.8 and Theorem 3.13 should apply to permutative endomorphisms of Leavitt path algebras, [1]. Similarly, adaptations of the algorithms constructed in sections 4 and 6 can be used to construct permutative automorphisms of Leavitt path algebras. Furthermore, the action of permutative endomorphisms on the graph C^* -algebra restricts to the action on the subgroup of permutative unitaries inside the unitary group. This restriction gives rise to interesting endomorphisms and automorphisms of certain locally finite groups (cf. comments in [10, Section 3.3] about permutative automorphisms of the infinite symmetric group with diagonal embeddings). A more detailed account of this aspect of the theory of endomorphisms of graph algebras will be given elsewhere.

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2 Background and notation

2.1 Graphs, graph algebras, and permutative endomorphisms.

Let $E = (E^0, E^1, r, s)$ be a *directed multigraph*, where E^0 and E^1 are countable sets of vertices and edges, respectively, while $s, r: E^1 \rightarrow E^0$ denote the source and range maps. For notational convenience – and in accordance with the tradition in the graph algebra literature – we will deviate from the graph theoretical terminology and simply call these objects *graphs* in the following. When multiple graphs are considered at the same time, the notation s_E and r_E will be used to disambiguate to which graph the maps belong. If an edge $e \in E^1$ has $s(e) = u$ and $r(e) = v$, we write $e: u \rightarrow v$. A *path* μ of *length* l , is a sequence of edges $\mu_1 \cdots \mu_l$ for which $r(\mu_i) = s(\mu_{i+1})$. If $s(\mu_i) = v_i$ and $r(\mu_i) = v_{i+1}$ for vertices v_1, \dots, v_{l+1} , we write $\mu: v_1 \rightarrow \cdots \rightarrow v_{l+1}$.

For each $l \in \mathbb{N}$, E^l will denote the set of paths in E of length l , and E^* will denote the set of all finite paths. The range and source maps are extended to E^* in the natural way. For $u, v \in E^0$, let $E_{u \rightarrow v}^l = \{\alpha \in E^l \mid s(\alpha) = u, r(\alpha) = v\}$, $E_{u \rightarrow *}^l = \{\alpha \in E^l \mid s(\alpha) = u\}$, and $E_{* \rightarrow v}^l = \{\alpha \in E^l \mid r(\alpha) = v\}$. A *sink* is a vertex that emits no edges, and a *source* is a vertex that receives no edges. A *cycle* is a path μ with $r(\mu) = s(\mu)$, and a *loop* is a cycle of length 1. A cycle $\mu \in E^k$ is said to have an *exit* if there exists $1 \leq i \leq k$ such that $s(\mu_i)$ emits at least two edges.

The following definition of the graph C^* -algebra corresponding to an arbitrary countable graph was given in [13]. Graph algebras provide a natural generalization of the Cuntz-Krieger algebras, [12], and are a subject of wide-spread investigations by specialists in the theory of operator algebras, symbolic dynamics, non-commutative geometry and quantum groups. The *graph C^* -algebra* [20, 19, 13] of E , denoted $C^*(E)$, is the universal C^* -algebra generated by a collection of mutually orthogonal projections $\{P_v \mid v \in E^0\}$ and partial isometries $\{S_e \mid e \in E^1\}$ satisfying the relations

- $S_e^* S_e = P_{r(e)}$ and $S_e^* S_f = 0$ when $e \neq f$,
- $S_e S_e^* \leq P_{s(e)}$,
- $P_v = \sum_{s(e)=v} S_e S_e^*$ when v emits a non-zero finite number of edges.

For a general introduction to graph C^* -algebras, see [25]. An *endomorphism* of $C^*(E)$ is a unital $*$ -homomorphism from $C^*(E)$ into itself. The *Leavitt path algebra* of E is analogously defined as the universal algebraic object satisfying the relations given above. It is not a $*$ -algebra, however, so a partial isometry S_e^* must be added to the list of generators for each $e \in E^1$.

For $\mu \in E^k$, let $S_\mu = S_{\mu_1} \cdots S_{\mu_k}$ be the corresponding non-zero partial isometry in $C^*(E)$. The final projection of S_μ is $P_\mu = S_\mu S_\mu^*$, and the initial projection is $P_{r(\mu)}$. The final projections in $\{P_\mu \mid \mu \in E^*\}$ commute, and the C^* -algebra generated by this set is called the *diagonal subalgebra* and it is denoted \mathcal{D}_E . If every cycle in E has an exit, then \mathcal{D}_E is a maximal Abelian subalgebra (MASA) in $C^*(E)$ as shown in [15, Thm. 5.2] and [23, Thm. 3.7]. For simplicity, all graphs

considered in the following will be assumed to be finite, to have no sinks or sources and to have an exit from every cycle.

Given $k \in \mathbb{N}$, a permutation $\tau \in \text{Perm}(E^k)$ is said to be *endpoint-fixing* if $\tau(E_{u \rightarrow v}^k) = E_{u \rightarrow v}^k$ for all $u, v \in E^0$. If $\tau \in \text{Perm}(E^k)$ is endpoint-fixing, then $U_\tau = \sum_{\alpha \in E^k} S_{\tau(\alpha)} S_\alpha^*$ defines a unitary in $C^*(E)$ for which $U_\tau S_\alpha = S_{\tau(\alpha)}$ for all $\alpha \in E^k$. The universality of $C^*(E)$ guarantees that an endomorphism $\lambda_\tau: C^*(E) \rightarrow C^*(E)$ can be defined by $\lambda_\tau(S_e) = U_\tau S_e$. Such an endomorphism is said to be a *permutative endomorphism at level k* . Note that this part of the construction is not limited to unitaries arising from permutations: it can be carried out for any unitary in the multiplier algebra that commutes with the vertex projections. This is examined in [3]. By the gauge invariant uniqueness theorem, a permutative endomorphism is automatically injective [3, Prop. 2.1].

2.2 Labeled graphs and shift spaces.

Given a finite set \mathcal{A} , a *labeled graph* with alphabet \mathcal{A} is a pair (E, \mathcal{L}) consisting of a graph E and a surjective *labeling map* $\mathcal{L}: E^1 \rightarrow \mathcal{A}$. The labeling map naturally extends to E^* . A labeled graph (E, \mathcal{L}) is said to be *left-resolving* if for all $e_1, e_2 \in E^1$, $r(e_1) = r(e_2)$ and $\mathcal{L}(e_1) = \mathcal{L}(e_2)$ implies $e_1 = e_2$. A labeled graph (E, \mathcal{L}) is said to be *right-resolving* if for all $e_1, e_2 \in E^1$, $s(e_1) = s(e_2)$ and $\mathcal{L}(e_1) = \mathcal{L}(e_2)$ implies $e_1 = e_2$. A labeled graph (E, \mathcal{L}) is said to be *left-synchronizing with delay $m \in \mathbb{N}$* if $s(\alpha) = s(\beta)$ whenever $\alpha, \beta \in E^m$ and $\mathcal{L}(\alpha) = \mathcal{L}(\beta)$. In the case where no two parallel edges have the same label, the labeled graph is left-synchronizing if and only if it is *right-closing* (see [21, def. 5.1.4] for a definition of right-closing labeled graphs). Analogously, (E, \mathcal{L}) is said to be *right-synchronizing* if there exists m such that any two paths with the same label and length greater than or equal to m must have the same range.

If $e \in E^1$ has $s(e) = u$, $r(e) = v$, and $\mathcal{L}(e) = a$, we write $e: u \xrightarrow{a} v$. Similarly, the statement that $\mu: v_1 \rightarrow \cdots \rightarrow v_n$ is a path over E with labels $\mathcal{L}(\mu_i) = a_i$ may be written as $\mu: v_1 \xrightarrow{a_1} \cdots \xrightarrow{a_{n-1}} v_n$.

For a graph E , the collection of one-sided infinite paths $X_E^+ = \{e_1 e_2 \cdots \mid e_i \in E^1, r(e_i) = s(e_{i+1})\}$ is a *one-sided shift space* equipped with the shift map $\sigma: X_E^+ \rightarrow X_E^+$ defined by $\sigma(e_1 e_2 e_3 \cdots) = e_2 e_3 \cdots$. Similarly, the collection of bi-infinite paths $X_E = \{\cdots e_1 e_2 \cdots \mid e_i \in E^1, r(e_i) = s(e_{i+1})\}$ is a *two-sided shift space* equipped with the shift map $\sigma: X_E \rightarrow X_E$ defined by $\sigma(x)_i = x_{i+1}$. X_E is called the *edge shift* of E . For a thorough introduction to the theory of shift spaces, see [21]. The shift space *presented* by a labeled graph (E, \mathcal{L}) is $X_{(E, \mathcal{L})} = \{\cdots \mathcal{L}(e_1) \mathcal{L}(e_2) \cdots \mid e_i \in E^1, r(e_i) = s(e_{i+1})\}$. The *language* of such a shift space is $\mathcal{L}(E^*)$. The *sliding block code induced by the labeling* is the map $\phi_{\mathcal{L}}: X_E \rightarrow X_{(E, \mathcal{L})}$ defined by $\phi_{\mathcal{L}}(\cdots e_1 e_2 \cdots) = \cdots \mathcal{L}(e_1) \mathcal{L}(e_2) \cdots$.

It is well-known (see e.g. [28, Thm. 3.7]) that the Gelfand spectrum of \mathcal{D}_E can be identified with X_E^+ via the identification of $z^+ \in X_E^+$ with the map $\phi_{z^+}: \mathcal{D}_E \rightarrow \mathbb{C}$ defined by

$$\phi_{z^+}(P_\mu) = \begin{cases} 1, & \mu \text{ is a prefix of } z \\ 0, & \text{otherwise} \end{cases}.$$

Hence, an endomorphism of $C^*(E)$ that preserves \mathcal{D}_E will induce an endomorphism of X_E^+ .

3 Permutation graphs

3.1 Definition and basic properties.

Let E be a finite graph without sinks or sources where every cycle has an exit.

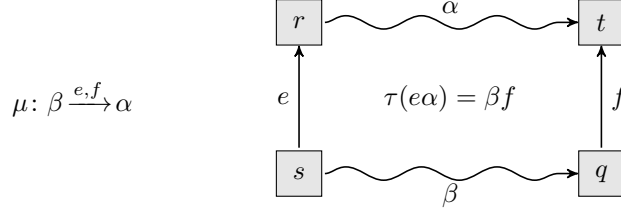


Figure 1: Illustration of the relationship between paths over E and labeled edges in E_τ . For each $e \in E^1$ and $\alpha \in E^{k-1}$ for which $r_E(e) = s_E(\alpha)$, the relation $\tau(e\alpha) = \beta f$ is encoded in E_τ as the labeled edge $\mu: \beta \xrightarrow{e,f} \alpha$. The respective sources and ranges in E must be of the form $e: s \rightarrow r$, $\alpha \in E_{r \rightarrow t}^{k-1}$, $\beta \in E_{s \rightarrow q}^{k-1}$, and $f: q \rightarrow t$ for appropriate $s, r, q, t \in E^0$, as shown in the diagram on the right.

Definition 3.1. Let $k \in \mathbb{N}$ and let $\tau \in \text{Perm}(E^k)$ be an endpoint-fixing permutation. Define a graph $E_\tau = (E_\tau^0, E_\tau^1, s_\tau, r_\tau)$ by

$$\begin{aligned} E_\tau^0 &= E^{k-1} & s_\tau(\mu) &= \tau(\mu)_1 \cdots \tau(\mu)_{k-1} \\ E_\tau^1 &= E^k & r_\tau(\mu) &= \mu_2 \cdots \mu_k \end{aligned} .$$

Define $\mathcal{L}_1, \mathcal{L}_2: E_\tau^1 \rightarrow E^1$ by

$$\mathcal{L}_1(\mu) = \mu_1 \quad \text{and} \quad \mathcal{L}_2(\mu) = \tau(\mu)_k,$$

and define a labeling $\mathcal{L}_\tau: E_\tau^1 \rightarrow E^1 \times E^1$ by $\mathcal{L}_\tau(\mu) = [\mathcal{L}_1(\mu), \mathcal{L}_2(\mu)]$. The permutation graph of E and τ is defined as the labeled graph $(E_\tau, \mathcal{L}_\tau)$. This will be said to be a permutation graph of E at level k .

A permutation is exactly determined by the corresponding permutation graph, since the permutation graph $(E_\tau, \mathcal{L}_\tau)$ contains an edge labeled $[e, f]$ from $\beta \in E^{k-1}$ to $\alpha \in E^{k-1}$ if and only if $\tau(e\alpha) = (\beta f)$. This is illustrated in Figure 1. The permutation graph defined above is introduced as a key tool for investigations of permutative endomorphisms. It constitutes a significant improvement to the graphical devices constructed ad hoc in [9] and [3].

Lemma 3.2. Let E and τ be as in Definition 3.1 above.

1. Let $e \in E^1$. For each edge $\mu: \alpha \xrightarrow{e,f} \beta$ in E_τ , $s_E(\beta) = s_E(e)$ and $s_E(\alpha) = r_E(e)$.
2. For every edge $e: s \rightarrow r$ in E^1 and $\alpha \in E_{r \rightarrow *}^{k-1}$ there is a unique edge $\mu: \beta \xrightarrow{e,f} \alpha$ of $(E_\tau, \mathcal{L}_\tau)$.
3. Let $f \in E^1$. For each edge $\mu: \beta \xrightarrow{e,f} \alpha$ in E_τ , $r_E(\beta) = s_E(f)$ and $r_E(\alpha) = r_E(f)$.
4. For every edge $f: q \rightarrow t$ in E^1 and $\beta \in E_{* \rightarrow q}^{k-1}$ there is a unique edge $\mu: \beta \xrightarrow{e,f} \alpha$ of $(E_\tau, \mathcal{L}_\tau)$.

Proof. The statements (1) and (3) follow from the requirement that τ is endpoint-fixing as seen in Figure 1. Bijectivity of τ entails that e, α uniquely determines β, f , and vice versa, whereby (2) and (4) follow. \square

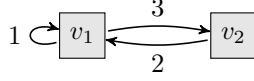


Figure 2: The graph E considered in Example 3.6.

The following corollary is an immediate consequence of Lemma 3.2 (1) and (3).

Corollary 3.3. *Let E and τ be as in Definition 3.1 above. Then (E_τ, \mathcal{L}_1) is left-resolving and (E_τ, \mathcal{L}_2) is right-resolving.*

In [9], similar conditions were developed to deal specifically with the permutative endomorphisms of the Cuntz-algebras \mathcal{O}_n . In that case, the conditions were phrased in terms of certain labeled trees carrying the same information as the permutation graph. It was shown that it was sufficient to consider certain configurations of these labeled trees when searching for permutative endomorphisms, and the conditions given above generalize those results. It is straightforward to check that the properties given in Lemma 3.2 are also sufficient to characterize permutation graphs:

Proposition 3.4. *Let (F, \mathcal{L}) be a labeled graph with vertex set $F^0 = E^{k-1}$ and labels $\mathcal{L}(F^1) \subseteq E^1 \times E^1$. Then (F, \mathcal{L}) is the permutation graph of some endpoint-fixing permutation of E^k if and only if (F, \mathcal{L}) satisfies the conditions given in Lemma 3.2.*

The following proposition shows that $(E_\tau, \mathcal{L}_\tau)$ gives two alternate presentations of the edge shift presented by E .

Proposition 3.5. *The two-sided shift spaces X_E , $X_{(E_\tau, \mathcal{L}_1)}$, and $X_{(E_\tau, \mathcal{L}_2)}$ presented by, respectively, E , (E_τ, \mathcal{L}_1) , and (E_τ, \mathcal{L}_2) are identical.*

Proof. It is sufficient to prove that the three labeled graphs have the same language. As any other labeling map, \mathcal{L}_1 can be extended naturally and considered as a map from E_τ^* to E^* , and using this, the language of $X_{(E_\tau, \mathcal{L}_1)}$ is $\mathcal{L}_1(E_\tau^*)$. By Lemma 3.2 (2), $\mathcal{L}_1(E_\tau^1) = E^1$. Let $n \geq 1$ be given and assume that $\mathcal{L}_1(E_\tau^n) = E^n$. Let $e \in E^0$. By Lemma 3.2 (1) and (2), $ew \in E^{n+1}$ if and only if $ew \in \mathcal{L}_1(E_\tau^{n+1})$. By induction, $\mathcal{L}_1(E_\tau^*) = E^*$, and an analogous argument proves that $\mathcal{L}_2(E_\tau^*) = E^*$. \square

The presentations (E_τ, \mathcal{L}_1) , and (E_τ, \mathcal{L}_2) do not in general correspond to any standard presentation of X_E known to the authors. However, if the permutation is the identity, then the permutation graph is simply a higher block presentation of the original edge shift (see e.g. [21]).

Example 3.6. *Consider the graph E shown in Figure 2, and let*

$$\tau = (111, 132, 321)(113, 323) \in \text{Perm}(E^3).$$

This is the endpoint-fixing permutation considered in [3, Example 6.5]. Its permutation graph $(E_\tau, \mathcal{L}_\tau)$ is shown in Figure 3. It is easy to check that E , (E_τ, \mathcal{L}_1) , and (E_τ, \mathcal{L}_2) all present the same two-sided shift space, as required by Proposition 3.5.

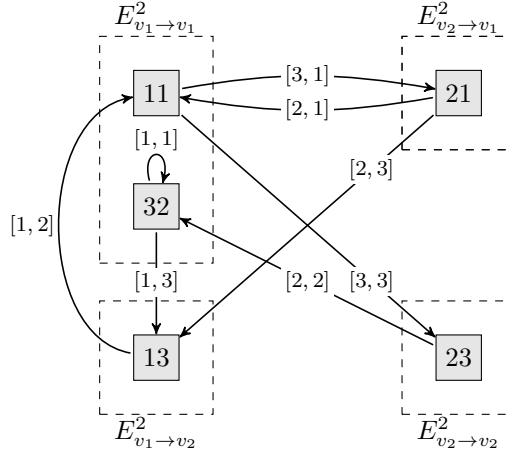


Figure 3: The permutation graph $(E_\tau, \mathcal{L}_\tau)$ considered in Example 3.6. The dashed boxes contain vertices corresponding to paths in E^2 with the specified sources and ranges.

3.2 Images via permutation graphs.

Now, it will be shown how permutation graphs can be used in direct computations involving permutative endomorphisms.

Lemma 3.7. *Let $\tau \in \text{Perm}(E^k)$ be endpoint-fixing. Let $e \in E^1$ and consider $\{\mu_1, \dots, \mu_n\} = \mathcal{L}_1^{-1}(e) \subseteq E_\tau^1$. For each i , let $\beta_i = s_\tau(\mu_i)$, $\alpha_i = r_\tau(\mu_i)$, and $f_i = \mathcal{L}_2(\mu_i)$, i.e. $\mu_i: \beta_i \xrightarrow{e, f_i} \alpha_i$. Then*

$$\lambda_\tau(S_e) = \sum_{i=1}^n S_{\beta_i} S_{f_i} S_{\alpha_i}^*.$$

Proof. By the definition of λ_τ and the construction of the permutation graph

$$\lambda_\tau(S_e) = \left(\sum_{\gamma \in E^k} S_{\tau(\gamma)} S_\gamma^* \right) S_e = \sum_{(e\alpha) \in E^k} S_{\tau(e\alpha)} S_\alpha^* = \sum_{i=1}^n S_{\beta_i} S_{f_i} S_{\alpha_i}^*.$$

□

This allows the permutation graph to be used to perform direct calculations, and additionally as a visual tool in computations: To find the image of S_e , one simply needs to identify the edges in the permutation graph for which the first label is e and construct the sum given above.

It is straightforward to extend Lemma 3.7 to an arbitrary path $e_1 \cdots e_n$ by applying the result to individual generators S_{e_i} and using the relations of $C^*(E)$ to achieve cancellations. This leads to:

Proposition 3.8. *Let $\tau \in \text{Perm}(E^k)$ be endpoint-fixing. Let $l \in \mathbb{N}$, and let $\gamma \in E^l$. Let $\{A_1, \dots, A_n\} = \mathcal{L}_1^{-1}(\gamma) \subseteq E_\tau^l$. For each i , let $\beta_i = s_\tau(A_i)$, $\alpha_i = r_\tau(A_i)$, and $\delta_i = \mathcal{L}_2(A_i)$. Then*

$$\lambda_\tau(S_\gamma) = \sum_{i=1}^n S_{\beta_i} S_{\delta_i} S_{\alpha_i}^*. \quad (1)$$

3.3 Permutation graphs as textile systems.

A *textile system*, $T = (\Gamma, G, p, q)$, over a graph G consists of a graph $\Gamma = (\Gamma^0, \Gamma^1, s_\Gamma, r_\Gamma)$ and a pair of graph homomorphisms $p, q: \Gamma \rightarrow G$ such that for each edge $a \in \Gamma^1$, the quadruple $(s_\Gamma(a), r_\Gamma(a), p(a), q(a))$ uniquely determines a [24, p. 14]. Textile systems were introduced by Nasu to investigate endomorphisms and automorphisms of shift spaces, and a powerful set of tools has been developed for this purpose. A textile system $T = (\Gamma, G, p, q)$ is said to be *in the standard form* if Γ and G have no sinks or sources and the graph-homomorphisms p, q are onto [24, p. 18]. From now on, all textile systems will be assumed to be in the standard form.

For a textile system $T = (\Gamma, G, p, q)$, define maps $\phi_1, \phi_2: X_\Gamma \rightarrow X_G$ by

$$\begin{aligned}\phi_1(\dots a_{-1}a_0a_1\dots) &= \dots p(a_{-1})p(a_0)p(a_1)\dots \\ \phi_2(\dots a_{-1}a_0a_1\dots) &= \dots q(a_{-1})q(a_0)q(a_1)\dots\end{aligned}$$

Define $X_0 = X_G$ and $Z_0 = X_\Gamma$. For each $l \in \mathbb{N}$, define

$$\begin{aligned}X_l &= \phi_1(Z_{l-1}) \cap \phi_2(Z_{l-1}) \\ Z_l &= \phi_1^{-1}(X_l) \cap \phi_2^{-1}(X_l),\end{aligned}$$

and let

$$X_T = \bigcap_{l=0}^{\infty} X_l \quad \text{and} \quad Z_T = \bigcap_{l=0}^{\infty} Z_l.$$

The textile system T is said to be *non-degenerate* if $X_T = X_\Gamma$ (from which it follows that $Z_T = X_G$) [24, p. 19]. For a non-degenerate textile system, the maps $\phi_1, \phi_2: X_\Gamma \rightarrow X_G$ are surjective. In all cases, the restrictions $\phi_1|_{Z_T}, \phi_2|_{Z_T}: Z_T \rightarrow X_T$ have image X_T .

A non-degenerate textile system, T , is said to be *one-sided 1-1* if ϕ_1 is injective and *(two-sided) 1-1* if both ϕ_1 and ϕ_2 are injective [24, p. 19]. If T_τ is one-sided 1-1, then the map $\phi_T = \phi_2 \circ \phi_1^{-1}: X_G \rightarrow X_G$ is an endomorphism of shift spaces, and this endomorphism is said to be *coded by T* . If T is two-sided 1-1, then this is an automorphism of shift spaces.

A graph homomorphism $h: \Gamma \rightarrow G$ is said to be *right-resolving* if for each $\beta \in \Gamma^0$ and $e \in G^1$ with $s_G(e) = h(\beta)$ there exists a unique $\mu \in \Gamma^1$ such that $s_\Gamma(\mu) = \beta$ and $h(\mu) = e$ [24, p. 40]. Note that (Γ, h) is a right-resolving labeled graph with alphabet G^1 when h is a right-resolving graph homomorphism (but the converse is not always true). Left-resolving graph homomorphisms are defined analogously. A textile system is said to be *LR* if p is a left-resolving graph homomorphism and q is a right-resolving graph homomorphism [24, p. 40]. It is straightforward to check that an LR textile system is always non-degenerate [24, Fact 3.3].

Given a permutation graph $(E_\tau, \mathcal{L}_\tau)$ over a graph E , define maps $p_\tau, q_\tau: E_\tau \rightarrow E$ by

$$\begin{aligned}p_\tau(\mu) &= \mathcal{L}_1(\mu) & p_\tau(\beta) &= s_E(\beta) \\ q_\tau(\mu) &= \mathcal{L}_2(\mu) & q_\tau(\beta) &= r_E(\beta)\end{aligned}$$

for all $\mu \in E_\tau^1$ and $\beta \in E_\tau^0 = E^{k-1}$, and let $T_\tau = (E_\tau, E, p_\tau, q_\tau)$. Lemma 3.2 implies that p_τ, q_τ are graph homomorphisms and that $(s_\tau(\mu), r_\tau(\mu), p_\tau(\mu), q_\tau(\mu))$ uniquely determines each $\mu \in E_\tau^1$, so T_τ is a textile system over E . Furthermore, Lemma 3.2 implies that this textile system is LR, and hence, non-degenerate. The connection between the shift space endomorphism ϕ_{T_τ} encoded by T_τ when T_τ is one-sided 1-1 and the endomorphism λ_τ of $C^*(E)$ will be explored in the following sections.

3.4 Automorphism criteria.

Let λ_τ be a permutative endomorphism of $C^*(E)$ at level k . Combinatorial conditions for the invertibility of λ_τ have been developed in [3]. These conditions can be reformulated in terms of the permutation graph, but here an independent formulation is given together with a new proof of the most interesting implication.

Remark 3.9. *To make the connection to [3] explicit, this remark gives a short discussion of the terminology used in that paper. In the notation of [3], define for each $e \in E^1$ the map $f_e: E_{r(e) \rightarrow *}^{k-1} \rightarrow E_{s(e) \rightarrow *}^{k-1}$ by $f_e(\alpha) = s_\tau(e\alpha)$. The graph (E_τ, \mathcal{L}_1) has an edge labeled e from $\beta \in E^{k-1}$ to $\alpha \in E^{k-1}$ if and only if $f_e(\alpha) = \beta$. Hence, reversing the edges on (E_τ, \mathcal{L}_1) will yield the graph considered in [3]. As an example of this, notice how the arrows of the permutation graph considered in Example 3.6 have been reversed compared to the corresponding graph from [3, Example 6.5].*

The following lemma will help connect the theory of permutative endomorphisms to the theory of textile systems. An analogous result holds for right-resolving graphs. This is a standard result in symbolic dynamics, so the proof is omitted.

Lemma 3.10. *Let (G, \mathcal{L}_1) be a finite left-resolving labeled graph. The following are equivalent:*

1. *The one-block code induced by the labeling of (G, \mathcal{L}_1) is invertible.*
2. *(G, \mathcal{L}_1) is left-synchronizing.*
3. *(G, \mathcal{L}_1) admits no two distinct cycles with the same label.*

A permutation graph $(E_\tau, \mathcal{L}_\tau)$ is said to be *synchronizing in the first label* if (E_τ, \mathcal{L}_1) is left-synchronizing. Similarly, a permutation graph is said to be *synchronizing in the second label* if (E_τ, \mathcal{L}_2) is right-synchronizing. In [3], equivalent conditions were denoted property (b) and property (d), respectively.

Lemma 3.11. *If a permutation graph $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in the first or second label then there exists $n \in \mathbb{N}$ such that for each $A \in E_\tau^n$, $\mathcal{L}_\tau(A)$ uniquely determines $s_\tau(A)$ and $r_\tau(A)$.*

Proof. Assume that $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in the first label and choose $n \in \mathbb{N}$ such that for each $A \in E_\tau^n$, $\mathcal{L}_1(A)$ uniquely determines $s_\tau(A)$. Since (E_τ, \mathcal{L}_2) is right-resolving, $r_\tau(A)$ is uniquely determined by $s_\tau(A)$ and $\mathcal{L}_2(A)$. The other part of the statement is shown analogously. \square

Lemma 3.12. *Let $(E_\tau, \mathcal{L}_\tau)$ be a permutation graph. The following are equivalent:*

1. *The labeled graph $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in the first label.*
2. *T_τ is one-sided 1-1.*
3. *The endomorphism $\lambda_\tau: C^*(E) \rightarrow C^*(E)$ restricts to an automorphism of \mathcal{D}_E .*

Proof. (1) \iff (2): By Lemma 3.10, (E_τ, \mathcal{L}_1) is left-synchronizing if and only if the sliding block code induced by the labeling is invertible.

(1) \implies (3): Let τ be an endpoint-fixing permutation of E^k , and assume that (E_τ, \mathcal{L}_1) is left-synchronizing with delay l . The permutative endomorphism λ_τ is automatically injective [3, Prop. 2.1], so it is sufficient to prove surjectivity. Let $\mu \in E^*$ with $|\mu| \geq k$ be given. The aim of

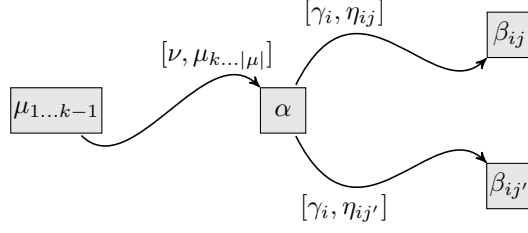


Figure 4: Construction of the paths considered in the proof of Lemma 3.12.

the following is to use Proposition 3.8 to construct an element $x \in \mathcal{D}_E$ for which $\lambda_\tau(x) = P_\mu$. This process is illustrated in Figure 4. By Lemma 3.2, the vertex $\mu_{1...k-1} \in E_\tau^0 = E^{k-1}$ emits a unique path with second label $\mu_{k...|\mu|}$. Let ν be the first label of this path (i.e. its image under \mathcal{L}_1) and let $\alpha \in E_\tau^0 = E^{k-1}$ be the range.

In order to use Proposition 3.8, it is necessary to find paths in the permutation graph with the required first and second labels. By Lemma 3.2, there is a unique path in E_τ^* with source α and second label $\eta \in E^*$ precisely when $s_E(\eta) = r_E(\nu)$. Consider the paths in E_τ^* with source α and length l . Let $\{\gamma_i\}$ be the set of first labels for all such paths. Left-synchronization implies that any path in E_τ^* with first label γ_i must start at α . This is illustrated in Figure 4 for a single γ_i . For each i , there may be more than one path with first label γ_i , but the second label uniquely identifies the path by Lemma 3.2. Let $\{\eta_{ij}\}$ be the set of second labels of paths with first label γ_i , and let β_{ij} be the range of the unique path with second label η_{ij} in E_τ . Because (E_τ, \mathcal{L}_1) is left-resolving, $\beta_{ij} \neq \beta_{ij'}$ whenever $j \neq j'$. The left-synchronization and left-resolvancy of (E_τ, \mathcal{L}_1) implies that any path with first label $\nu\gamma_i$ must have $\mu_{k...|\mu|}$ as a prefix of the second label. By Proposition 3.8, this means that

$$\begin{aligned}
\lambda_\tau \left(\sum_i S_\nu S_{\gamma_i} S_{\gamma_i}^* S_\nu^* \right) &= S_{\mu_{1...k-1}} S_{\mu_{k...|\mu|}} \sum_{i,j,j'} \left(S_{\eta_{ij}} S_{\beta_{ij}}^* S_{\beta_{ij'}} S_{\eta_{ij'}}^* \right) S_{\mu_{k...|\mu|}}^* S_{\mu_{1...k-1}}^* \\
&= S_\mu \sum_{ij} \left(S_{\eta_{ij}} S_{\eta_{ij}}^* \right) S_\mu^* = S_\mu S_\mu^*.
\end{aligned}$$

As μ was arbitrary, this shows that λ_τ restricts to a surjection of \mathcal{D}_E .

(3) \implies (1): This is a restatement of a result proved in [3, Lem. 6.1] under the identification given in Remark 3.9. \square

Theorem 3.13. *The following are equivalent:*

1. $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in both the first and the second label.
2. T_τ is two-sided 1-1.

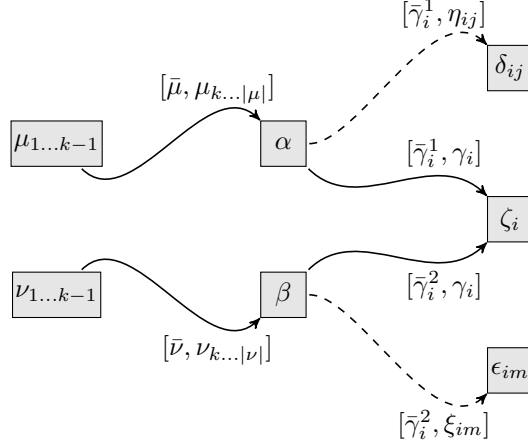


Figure 5: Construction of the paths considered in the proof of Theorem 3.13 associated to a given γ_i .

3. λ_τ is an automorphism of $C^*(E)$.

Furthermore, such an automorphism has a permutative inverse.

Proof. (1) \iff (2): As in the proof of Lemma 3.12, this follows from Lemma 3.10.

(1) \implies (3): By [3, Prop. 2.1], λ_τ is injective. To prove surjectivity, choose l such that (E_τ, \mathcal{L}_1) is left-synchronizing with delay l and (E_τ, \mathcal{L}_2) is right-synchronizing with delay l . Let $\mu, \nu \in E^*$ have the same endpoint $r(\mu) = r(\nu)$, and $|\mu|, |\nu| \geq k$. As in the proof of Lemma 3.12, the aim is to use Proposition 3.8 to choose an element of $C^*(E)$ defined by a suitable collection of paths in the permutation graph. More specifically, the aim is to construct an element x , such that $\lambda_\tau(x) = S_\mu S_\nu^*$. This process is illustrated in Figure 5. Such elements will then be used to construct a permutative unitary U_π for which λ_π is the inverse of λ_τ .

Consider the unique path in E_τ^* with source node $\mu_{1...k-1}$ and second label $\mu_{k...|\mu|}$. Let $\bar{\mu}$ be the first label of this path, and let $\alpha \in E_\tau^0 = E^{k-1}$ be the range. Similarly, consider the unique path in E_τ^* with source $\nu_{1...k-1}$ and second label $\nu_{k...|\nu|}$. Let $\bar{\nu}$ be the first label of this path, and let $\beta \in E_\tau^0 = E^{k-1}$ be the range.

By Lemma 3.2, for each $\gamma \in E^*$ with $s_E(\gamma) = r_E(\mu)$, there is precisely one path in E_τ^* with second label γ and source α . Similarly, there is precisely one path in E_τ^* with second label γ and source β . Let $\{\gamma_i\}$ be the paths in E^l with $s_E(\gamma) = r_E(\mu) = r_E(\nu)$. Given such a γ_i , let $\bar{\gamma}_i^1$ be the first label of the unique path in E_τ^* with source α and second label γ_i . Similarly, let $\bar{\gamma}_i^2$ be the first label of the unique path in E_τ^* with source β and second label γ_i . Right-synchronization of (E_τ, \mathcal{L}_2) implies that these two paths have the same range ζ_i . This is shown in Figure 5 for a single path γ_i .

Left-synchronization of (E_τ, \mathcal{L}_1) implies that any path in E_τ^* with first label $\bar{\gamma}_i^1$ must start at α and right-resolvancy of (E_τ, \mathcal{L}_1) means that all these paths have different second labels. Let $\{\gamma_i\} \cup \{\eta_{ij}\}$ be the set of second labels of these paths, and let δ_{ij} be the range of the path with second label η_{ij} . This is illustrated by a dashed path in Figure 5 for a single value of j . Similarly, any path in E_τ^* with first label $\bar{\gamma}_i^2$ must start at β and all these paths have unique second labels.

Let $\{\gamma_i\} \cup \{\xi_{im}\}$ be the set of second labels of these paths, and let ϵ_{im} be the range of the path with second label ξ_{im} . As above, this is illustrated by a dashed path in Figure 5 for a single value of m .

For each γ_i with $s_E(\gamma_i) = r_E(\mu) = r_E(\nu)$ and $|\gamma_i| = l$, use Lemma 3.12 to choose $q_{\mu\gamma_i}$ and $q_{\nu\gamma_i}$ such that $\lambda_\tau(q_{\mu\gamma_i}) = P_{\mu\gamma_i}$ and $\lambda_\tau(q_{\nu\gamma_i}) = P_{\nu\gamma_i}$. By Proposition 3.8,

$$\begin{aligned} \lambda_\tau \left(\sum_i q_{\mu\gamma_i} S_{\bar{\mu}} S_{\bar{\gamma}_i^1}^* S_{\bar{\gamma}_i^2}^* S_{\bar{\nu}}^* q_{\nu\gamma_i} \right) \\ = \sum_i P_{\mu\gamma_i} S_\mu \left(S_{\gamma_i} S_{\zeta_i}^* + \sum_j S_{\eta_{ij}} S_{\delta_{ij}}^* \right) \left(S_{\zeta_i} S_{\gamma_i}^* + \sum_m S_{\epsilon_{im}} S_{\xi_{im}}^* \right) S_\nu^* P_{\nu\gamma_i} \\ = \sum_i S_{\mu\gamma_i} S_{\nu\gamma_i}^* = S_\mu S_\nu^*. \end{aligned}$$

Considering a sum of such elements allows the construction of a permutative unitary U_π such that $\lambda_\tau(U_\pi) = U_\tau^*$. Hence, λ_τ is invertible with inverse λ_π .

(3) \implies (1): This was proved in [3, Thm. 6.4] up to a slight reformulation similar to the one given in Remark 3.9 which is needed to translate the result into a statement about permutation graphs. \square

Note how this result shows that for a permutative endomorphism λ_τ of $C^*(E)$, invertibility of the induced endomorphism of the shift space X_E can be lifted and used to constructively find an inverse to λ_τ .

Example 3.14. Consider again the graph E and the permutation τ from Example 3.6. It is straightforward to check that for any path A in E_τ^3 , the label $\mathcal{L}_1(A)$ uniquely determines the source $s_\tau(A)$, so the permutation graph is synchronizing in the first label. On the other hand, (E_τ, \mathcal{L}_2) contains a cycle labeled 11 and a loop labeled 1, so by Lemma 3.10, the permutation graph is not synchronizing in the second label. Hence, λ_τ is not an automorphism. This fact was also observed in [3] without reference to the permutation graph.

Remark 3.15. As in Example 3.14, Lemmas 3.10, 3.12 and Theorem 3.13 are useful in general for automorphism testing. A forthcoming paper will present an efficient algorithm that decides whether a permutative endomorphism λ_τ is an automorphism, by way of its permutation graph. This is used in the computer program discussed in Section 7 together with the algorithm from Section 6 to perform exhaustive searches for permutative automorphisms at higher levels than otherwise possible.

3.5 Induced endomorphism of the one- and two-sided shift.

When a permutative endomorphism restricts to an automorphism of \mathcal{D}_E , i.e. when its permutation graph is synchronizing in the first label, it induces an endomorphism ϕ_τ^+ on the one-sided edge shift X_E^+ through the identification of $z^+ \in X_E^+$ with the map $\phi_{z^+}: \mathcal{D}_E \rightarrow \mathbb{C}$. This in turn induces an endomorphism ϕ_τ on the two-sided edge shift X_E .

Proposition 3.16. Let λ_τ be a permutative endomorphism at level k for which the permutation graph is synchronizing in the first label, and let ϕ_τ^+ be the induced endomorphism of the one-sided edge shift X_E^+ . Given $x^+ \in X_E^+$, let $\beta \in E^{k-1}$ be the source of the unique infinite path in E_τ^* with first label x^+ , and let y^+ be the second label of this path. Then $\phi_\tau^+(x^+) = \beta y^+$.

Proof. Synchronization in the first label guarantees that there is a unique right-infinite path in the permutation graph with first label x^+ . The result follows from Proposition 3.8 and the identification of $z^+ \in X_E^+$ with the map $\phi_{z^+} : \mathcal{D}_E \rightarrow \mathbb{C}$. \square

As described in Section 3.3, the textile system T_τ corresponding to a permutation graph codes an endomorphism ϕ_{T_τ} of X_E . As an immediate consequence of the previous result, this endomorphism can be linked to the natural endomorphism of X_E induced by λ_τ :

Corollary 3.17. *Let λ_τ be a permutative endomorphism at level k for which the permutation graph is synchronizing in the first label, let ϕ_τ be the induced endomorphism of the two-sided edge shift X_E , and let ϕ_{T_τ} be the endomorphism coded by T_τ . Then $\phi_\tau(x) = \sigma^{-k+1} \circ \phi_{T_\tau}$.*

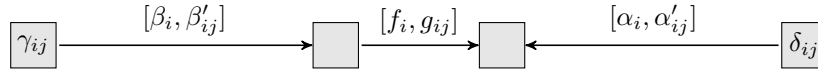
3.6 Composition of permutation graphs.

Let λ_τ and λ_π be permutative endomorphisms at level l and k , respectively. Given $e \in E^1$, consider all $\alpha_i, \beta_i \in E^{k-1}$ and $f_i \in E^1$ for which E_τ contains an edge $\beta_i \xrightarrow{e, f_i} \alpha_i$. By Lemma 3.7, $\lambda_\pi(S_e) = \sum_i S_{\beta_i} S_{f_i} S_{\alpha_i}$.

For each i , let j enumerate the pairs of paths A_{ij}, B_{ij} over $(E_\tau, \mathcal{L}_\tau)$ for which

- the first label of A_{ij} is α_i ,
- the first label of B_{ij} is $\beta_i f_i$, and
- A_{ij} and B_{ij} have the same range.

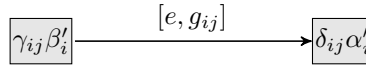
For each j , let δ_{ij} be the source of A_{ij} , let γ_{ij} be the source of B_{ij} , let α'_{ij} be the second label of A_{ij} , and let $\beta'_{ij} g_{ij}$ be the second label of B_{ij} . Such a pair of paths is illustrated here:



By Proposition 3.8,

$$\lambda_\tau(\lambda_\pi(S_e)) = \sum_{i,j} S_{\gamma_{ij}} S_{\beta'_{ij}} S_{g_{ij}} S_{\alpha'_{ij}}^* S_{\delta_{ij}}^*,$$

so the permutation graph of $\lambda_\tau \circ \lambda_\pi$ will have vertices and edges as illustrated here:



Note that this has the form of a permutation graph for a permutation at level $k + l - 1$. The corresponding permutation is determined by this permutation graph, but it is not easily computed directly from τ and π without going through this process. The following result summarizes this discussion:

Proposition 3.18. *Let λ_τ and λ_π be permutative endomorphisms. Then the permutation graph of $\lambda_\tau \circ \lambda_\pi$ is the labeled graph constructed above.*

4 Finding permutative automorphisms

This section describes a recursive algorithm that, given a graph E and a level k , finds all level- k permutative automorphisms of $C^*(E)$. Only a high-level description is given here; a detailed description of the algorithm will be published separately. The overall structure follows two simple mutually recursive functions Φ_1 and Φ_0 , defined in Equation (2), that build all valid extensions to a partial graph \mathcal{G} edge by edge. It will be shown below that $\Phi_1(0, \mathcal{G}_0)$ precisely constructs the set of permutation graphs of level k when \mathcal{G}_0 is the empty labeled graph with vertex set E^{k-1} . For each $r \in E^0$, write $E_{r \rightarrow *}^{k-1} = \{\alpha_r^n \mid 0 \leq n \leq |E_{r \rightarrow *}^{k-1}| - 1\}$ and $E^1 = \{e_m \mid 0 \leq m \leq |E^1| - 1\}$. Let $r_m = r_E(e_m)$ for each m , and let $\mathcal{G} = (G, \mathcal{L})$ denote a partially completed permutation graph.

$$\begin{aligned} \Phi_1(|E^1|, \mathcal{G}) &= \{\mathcal{G}\} \\ \Phi_1(m, \mathcal{G}) &= \Phi_0(m, 0, \mathcal{G}) \\ \Phi_0(m, |E_{r \rightarrow *}^{k-1}|, \mathcal{G}) &= \Phi_1(m+1, \mathcal{G}) \\ \Phi_0(m, n, \mathcal{G}) &= \biguplus_{\substack{\mu: \beta \xrightarrow{e_m, f} \alpha_{r_m}^n \\ \text{valid}(\mathcal{G} \oplus \mu)}} \Phi_0(m, n+1, \mathcal{G} \oplus \mu) \end{aligned} \tag{2}$$

In the above, “ \uplus ” denotes disjoint set union, and $\mathcal{G} \oplus \mu$ is the labeled graph resulting from extending \mathcal{G} with the labeled edge μ . Each edge μ is added only if $\mathcal{G} \oplus \mu$ satisfies a predicate $\text{valid}(\cdot)$ defined below, which guarantees that the construction only results in permutation graphs for automorphisms. By Lemma 3.2, each vertex $\alpha \in E_{r \rightarrow *}^{k-1}$ must receive exactly one edge with first label e for each $e: s \rightarrow r$ in E^1 . In the algorithm defined above, the graphs are constructed one label $e_m: s_m \rightarrow r_m$ at a time, placing for each destination vertex $\alpha_{r_m}^n$ the e_m -labeled edge incident to it: For each β and f for which adding the edge $\mu: \beta \xrightarrow{e_m, f} \alpha_{r_m}^n$ results in a valid subgraph of a permutation graph, the recursion proceeds. Dead ends result in the empty set, but if all labels are successfully completed, the singleton set containing the completed graph is returned. At each recursion level, the result is the disjoint union of the results from the levels below.

The predicate $\text{valid}(\cdot)$ ensures that it is exactly the permutative automorphisms that are constructed. It guarantees that only edges that satisfy two concurrent conditions are placed: The resulting graph must be a subgraph of a permutation graph and, by Theorem 3.13, it must also synchronize in both labels in order to complete to an automorphism. The following proposition, which is an easy corollary to Proposition 3.4, characterizes the subgraphs of permutation graphs:

Proposition 4.1. *Let (G, \mathcal{L}) be a labeled graph with node set E^{k-1} and label alphabet $E^1 \times E^1$. (G, \mathcal{L}) is subgraph of a permutation graph $(E_\tau, \mathcal{L}_\tau)$ for some endpoint-fixing permutation $\tau \in \text{Perm}(E^k)$ if and only if*

1. (G, \mathcal{L}) satisfies parts (1) and (3) of Lemma 3.2.
2. For every edge $e: s \rightarrow r$ in E^1 and $\alpha \in E_{r \rightarrow *}^{k-1}$, there is at most one edge $\mu: \beta \xrightarrow{e, f} \alpha$ in (G, \mathcal{L}) with $f \in E^1$ and $\beta \in E^{k-1}$.
3. For every edge $f: q \rightarrow t$ in E^1 and $\beta \in E_{* \rightarrow q}^{k-1}$, there is at most one edge $\mu: \beta \xrightarrow{e, f} \alpha$ in (G, \mathcal{L}) with $e \in E^1$ and $\alpha \in E^{k-1}$.

This yields a simple test for whether a partially completed graph is the subgraph of some permutation graph that corresponds to an automorphism:

Definition 4.2. Let $\mathcal{G} = (G, \mathcal{L})$ be a labeled graph with node set E^{k-1} and label alphabet $E^1 \times E^1$. $\text{valid}(\mathcal{G})$ is true if \mathcal{G} synchronizes in both labels and satisfies the conditions of Proposition 4.1.

The correctness of the recursive construction of all permutative automorphisms depends on the following property of the $\text{valid}(\cdot)$ predicate:

Definition 4.3. A predicate p on the set of labeled graphs is said to be inherited if $p(H, \mathcal{L}) \implies p(G, \mathcal{L})$ for every labeled graph (H, \mathcal{L}) and subgraph G of H .

That is, the property is invariant throughout an edge-by-edge construction of the labeled graph. As soon as a labeled edge is placed that makes the partially constructed graph invalid, the entire search tree below it may be safely discarded as it can be contained in no valid completed graph. Conversely, any valid completed graph is reached from the empty graph (or any other subgraph) by a sequence of locally valid edge placements, the order of which is unimportant.

It is easy to verify that Properties (1)–(3) of Proposition 4.1 are inherited properties. Left- and right-synchronization are inherited properties by way of Lemma 3.10, since the set of cycles over H is a subset of the cycles over G when H is a subgraph of G .

Theorem 4.4. Let \mathcal{G}_0 be the labeled graph with vertex set E^{k-1} and no edges. Then $\Phi_1(0, \mathcal{G}_0)$ is the set of permutation graphs corresponding to all level k permutative automorphisms.

Proof. Assume that $\mathcal{G} \in \Phi_1(0, \mathcal{G}_0)$. It is immediately apparent from Equation (2) that the total number of edges in $\mathcal{G} = (G, \mathcal{L})$ must be

$$|G^1| = \sum_{\substack{e: s \rightarrow r \\ e \in E^1}} |E_{r \rightarrow *}^{k-1}| = \sum_{\substack{f: q \rightarrow t \\ f \in E^1}} |E_{* \rightarrow q}^{k-1}|.$$

Proposition 4.1(2) ensures that for each $e: s \rightarrow r$, every $\alpha \in E_{r \rightarrow *}^{k-1}$ receives at most one edge with first label e , and the full edge count can only be achieved if each α receives exactly one such edge, fulfilling Lemma 3.2(2). Similarly, Proposition 4.1(3), together with the second equality above, ensures that Lemma 3.2(4) is satisfied. Consequently, \mathcal{G} satisfies all properties of Lemma 3.2, and by Proposition 3.4, it is a permutation graph. Since it also synchronizes in both labels, it represents a permutative automorphism by Theorem 3.13.

Conversely, let $(E_\tau, \mathcal{L}_\tau)$ be a permutative automorphism at level k . By Proposition 4.1 and the fact that $\text{valid}(\cdot)$ is inherited, the edge set E_τ^1 traversed in any order constitutes a sequence of locally valid edge placements that incrementally extends \mathcal{G}_0 until $(E_\tau, \mathcal{L}_\tau)$ is completed. Hence, each time the last line in Equation (2) is reached, there is a valid edge placement to pick from E_τ^1 . Since the procedure terminates after $\sum_{e \in E} |E_{r_E(e) \rightarrow *}^{k-1}| = |E_\tau^1|$ steps, all the edges of E_τ^1 are eventually placed, and thus $(E_\tau, \mathcal{L}_\tau) \in \Phi_1(0, \mathcal{G}_0)$.

Consequently, the procedure constructs exactly the set of all level- k permutative automorphisms. \square

From the recursion structure in Equation (2), it is apparent that each permutation graph is built at most once. Because all level- k permutative automorphisms are reached, each is constructed exactly once.

It is instructive to note that the permutation graph property (Lemma 3.2) and the automorphism property (Theorem 3.13) are separate tests: Simply omitting the synchronization test in the above procedure would instead yield the set of all permutative endomorphisms at level k . While this set becomes too large to practically compute even for small k , other properties than automorphism may be filtered for, just so long as they can be expressed in an inherited form as defined in Definition 4.3.

5 Inner equivalence, shift space equivalence, and order

One is most often not interested in every automorphism, but only in those that are sufficiently different to warrant distinct consideration. Commonly, endomorphisms are considered up to inner equivalence, i.e. modulo conjugation by a unitary. The present section introduces *shift space equivalence*, which groups endomorphisms that have identical properties as dynamical systems:

Definition 5.1 (Shift space equivalence). *The labeled graphs (E, \mathcal{L}_E) and (F, \mathcal{L}_F) are said to be shift space equivalent if they present the same shift space $X_{(E, \mathcal{L}_E)} = X_{(F, \mathcal{L}_F)}$.*

Definition 5.1 induces an equivalence relation on $\text{Perm}(E^k)$ and on the corresponding permutative endomorphisms: Two level- k endpoint-fixing permutations τ and τ' are said to be shift space equivalent when their respective permutation graphs are equivalent, i.e. when $X_{(E_\tau, \mathcal{L}_\tau)} = X_{(E_{\tau'}, \mathcal{L}_{\tau'})}$. For permutative endomorphisms that are synchronizing in the first label, it will be shown that shift space equivalence is the same as inner equivalence via a permutative unitary.

5.1 Permuting permutations.

Let $\tau \in \text{Perm}(E^k)$ be endpoint-fixing. Given an endpoint-fixing permutation $\pi \in \text{Perm}(E^{k-1})$, the aim of the following is to rigorously construct a new permutation $g_\pi(\tau)$, the permutation graph of which is the graph obtained from $(E_\tau, \mathcal{L}_\tau)$ by permuting the vertices through π while maintaining the remaining structure. Later, it will be shown that when π ranges over the endpoint-fixing permutations in $\text{Perm}(E^{k-1})$, this construction exactly reaches all of the level- k permutations that are shift space equivalent to τ whenever $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in the first label.

Let $\tau \in \text{Perm}(E^k)$ and $\pi \in \text{Perm}(E^{k-1})$ be endpoint-fixing. Given $e \in E^1$ and $\alpha \in E^{k-1}$ with $e\alpha \in E^k$, consider the unique $\beta \in E^{k-1}$ and $f \in E^1$ for which $\tau(e\alpha) = \beta f$. Define $g_\pi(\tau): E^k \rightarrow E^k$ by

$$g_\pi(\tau)(e\pi(\alpha)) = \pi(\beta)f. \quad (3)$$

The paths used in this definition are sketched in Figure 6.

Lemma 5.2. *For each endpoint-fixing $\tau \in \text{Perm}(E^k)$ and $\pi \in \text{Perm}(E^{k-1})$, $g_\pi(\tau)$ is also an endpoint-fixing permutation of E^k , and the edges of $(E_{g_\pi(\tau)}, \mathcal{L}_{g_\pi(\tau)})$ are $\mu_\pi: \pi(\beta) \xrightarrow{e,f} \pi(\alpha)$ for each edge $\mu: \beta \xrightarrow{e,f} \alpha$ of $(E_\tau, \mathcal{L}_\tau)$.*

Proof. Consider Figures 1 and 6, and notice that $g_\pi(\tau)$ is an endpoint-fixing permutation since both π and τ are endpoint-fixing. \square

In this way, $(E_\tau, \mathcal{L}_\tau)$ and $(E_{g_\pi(\tau)}, \mathcal{L}_{g_\pi(\tau)})$ are constructed to have the same structure, and this immediately yields the following:

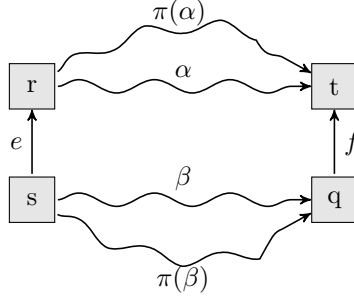


Figure 6: Paths and edges in E used in the construction of $g_\pi(\tau)$ and in the proof of Lemma 5.2. Compare to Figure 1.

Corollary 5.3. *For endpoint-fixing $\tau \in \text{Perm}(E^k)$ and $\pi \in \text{Perm}(E^{k-1})$, $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in the first/second label if and only if $(E_{g_\pi(\tau)}, \mathcal{L}_{g_\pi(\tau)})$ is synchronizing in the first/second label.*

The following proposition shows that the construction given above captures shift space equivalence of permutative endomorphisms which are synchronizing in the first label; and that shift space equivalence of such endomorphisms is the same as inner equivalence through a permutative unitary U_π with $\pi \in \text{Perm}(E^{k-1})$. This relation to inner equivalence has already been considered elsewhere. In particular, [9, Sec. 4.2] contains a discussion of the action of such inner automorphisms in the context of Cuntz algebras.

Proposition 5.4. *Let $\tau, \tau' \in \text{Perm}(E^k)$ be endpoint-fixing, and assume that the corresponding permutation graphs are synchronizing in the first label. The following are equivalent:*

1. τ and τ' are shift space equivalent, i.e. $\mathbf{X}_{(E_\tau, \mathcal{L}_\tau)} = \mathbf{X}_{(E_{\tau'}, \mathcal{L}_{\tau'})}$.
2. There exists $\pi \in \text{Perm}(E^{k-1})$ such that $\tau' = g_\pi(\tau)$.
3. There exists an endpoint-fixing $\pi \in \text{Perm}(E^{k-1})$ such that $\text{Ad}(U_\pi) \circ \lambda_\tau = \lambda_{\tau'}$.
4. The shift space automorphisms coded by the textile systems are equal, i.e. $\phi_{T_\tau} = \phi_{T_{\tau'}}$.
5. The shift space automorphisms induced by λ_τ and $\lambda_{\tau'}$ are equal, i.e. $\phi_\tau = \phi_{\tau'}$.

Proof.

(2) \Rightarrow (1): This follows from Lemma 5.2.

(1) \Rightarrow (2): By Lemma 3.11, there exists an $n \in \mathbb{N}$ such that for a path of length n in $(E_\tau, \mathcal{L}_\tau)$ or $(E_{\tau'}, \mathcal{L}_{\tau'})$, its label uniquely determines the range and source of the path. Hence, a vertex in E_τ^0 or $E_{\tau'}^0$ can be identified with the set of labels for length n paths that it emits. Since the languages presented by $(E_\tau, \mathcal{L}_\tau)$ and $(E_{\tau'}, \mathcal{L}_{\tau'})$ are equal by assumption, this induces a bijection π from $E_\tau^{k-1} = E_\tau^0$ to $E_{\tau'}^{k-1} = E_{\tau'}^0$. By construction, π is endpoint-fixing and $\tau' = g_\pi(\tau)$.

(2) \Leftrightarrow (3): Assume that $g_\pi(\tau) = \tau'$. Let $e \in E^1$ be given and use Lemma 3.7 to obtain

$\alpha_i, \beta_i \in E^{k-1}$ and $f_i \in E^1$ such that $\lambda_\tau(S_e) = \sum_i S_{\beta_i} S_{f_i} S_{\alpha_i}$. Then,

$$\begin{aligned} (\text{Ad}(U_\pi) \circ \lambda_\tau)(S_e) &= \left(\sum_\alpha S_{\pi(\alpha)} S_\alpha^* \right) \left(\sum_i S_{\beta_i} S_{f_i} S_{\alpha_i}^* \right) \left(\sum_\alpha S_\alpha S_{\pi(\alpha)}^* \right) \\ &= \sum_i S_{\pi(\beta_i)} S_{f_i} S_{\pi(\alpha_i)}^* \\ &= \lambda_{\tau'}(S_e). \end{aligned}$$

The reverse implication follows by an analogous argument.

(1) \Leftrightarrow (4): Let $x \in X_E$. By definition, $\phi_{T_\tau}(x)$ is the unique $y \in X_E$ for which $(x_i, y_i)_{i \in \mathbb{Z}} \in X_{(E_\tau, \mathcal{L}_\tau)}$. Hence, $\phi_{T_\tau} = \phi_{T_{\tau'}}$ if and only if $X_{(E_\tau, \mathcal{L}_\tau)} = X_{(E_{\tau'}, \mathcal{L}_{\tau'})}$. Finally, (4) and (5) are equivalent by Corollary 3.17. \square

5.2 Vertex order and shift space equivalence.

Proposition 5.4(2) shows that the shift space equivalence relation is a refinement of the inner equivalence relation, corresponding to inner equivalence through permutative unitaries. Thus, every class at level k has the same size, namely the number of endpoint-fixing permutations at level $(k-1)$. This number grows combinatorially in k , which prompts two questions: How can one recognize when endpoint-fixing permutations $\tau, \tau' \in \text{Perm}(E^k)$ belong to the same shift space equivalence class without testing every endpoint-fixing $\pi \in \text{Perm}(E^{k-1})$? And is it possible to define a succinct representation of the equivalence classes that can be constructed directly, without considering their many individual elements?

Assume that there exists a strict total ordering – i.e. an enumeration – of the vertices in a permutation graph, for which the position in the order of any given vertex depends only on the labeled paths emitted and/or received by this vertex. Such an order is determined by the language of the permutation graph. Assuming that $X_{(E_\tau, \mathcal{L}_\tau)} = X_{(E_{\tau'}, \mathcal{L}_{\tau'})}$, this allows direct construction of π from Proposition 5.4 by pairing the vertices that have the same number in the order. In fact, replacing each vertex in a permutation graph with its number in the order will be shown to yield a canonical representation for an entire shift space equivalence class. The resulting structure is called an *ordered permutation graph*, and a precise definition will be given below.

From a computational viewpoint, it is greatly desirable if the ordered permutation graphs can be constructed directly, such that the equivalence classes can be studied without first considering the many individual members, and this motivates the definition of the concrete ordering introduced below. The aim is to order the vertices of a permutation graph in a way that facilitates recursive construction of collections of shift space equivalence classes using an algorithm structurally similar to the one introduced in Section 4. Since different equivalence classes have different languages and hence different orders, this requires that the order can be built incrementally alongside the ordered permutation graph. The concrete order given below makes it easy to construct ordered permutation graphs by adding each edge *in order*, building at the same time the ordered permutation graph and its corresponding total order. An algorithm carrying out this construction will be detailed in Section 6.

5.2.1 The order of minimal emitted sequences.

Begin by ordering the vertices of E , and introduce a strict total order on the edges of E for which $e \leq f$ for $e, f \in E^1$ if $s_E(e) < s_E(f)$ or $s_E(e) = s_E(f)$ and $r_E(e) \leq r_E(f)$. These properties uniquely

determine the order if and only if E has no parallel edges, but in general, the edge order involves an arbitrary choice. This order on vertices and edges of E is then used to construct a special family of orderings of the vertices and edges of the permutation graphs E_τ by the following construction.

Definition 5.5 (Minimal emitted sequence). *Let $\tau \in \text{Perm}(E^k)$ be endpoint-fixing. Given $\alpha \in E_\tau^0$, define $M_\tau(\alpha) = e_1 r_E(f_1) e_2 r_E(f_2) \cdots$ to be the lexicographically minimal sequence for which there exists an infinite path*

$$A: \alpha \xrightarrow{e_1, f_1} \alpha_1 \xrightarrow{e_2, f_2} \alpha_2 \xrightarrow{e_3, f_3} \cdots$$

over $(E_\tau, \mathcal{L}_\tau)$. Such a path is called a minimal emitted path, and the sequence $M_\tau(\alpha)$ is called the minimal emitted sequence of α . Lexicographic order of the minimal emitted sequences defines a total preorder on E_τ^0 , the preorder of minimal emitted sequences, given by $\alpha \leq \beta$ if and only if $M_\tau(\alpha) \leq M_\tau(\beta)$.

The preorder of minimal emitted sequences is lifted to permutation graph edges in the obvious way:

Definition 5.6. *Given a labeled edge $\mu: \beta \xrightarrow{e, f} \alpha$ of $(E_\tau, \mathcal{L}_\tau)$, let $M_\tau^1(\mu) = e r_E(f) M_\tau(\alpha)$. Define a total preorder on the edges E_τ^1 by $\mu \leq \nu$ if and only if $M_\tau^1(\mu) \leq M_\tau^1(\nu)$ lexicographically.*

Lemma 5.7. *If $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in the first label, then the preorders given in definitions 5.5 and 5.6 are strict and total orders (i.e. enumerations of the vertices and edges, respectively.)*

Proof. Let $(E_\tau, \mathcal{L}_\tau)$ be synchronizing in the first label. Then there exists $m \in \mathbb{N}$ such that each $\alpha \in E_\tau^0$ is uniquely determined by the first $2m$ elements of $M_\tau(\alpha)$. An analogous argument proves the other statement. \square

Notice that, rather than defining one global order on E^{k-1} and E^k , each order of minimal emitted sequences orders the permutation graph vertices and edges according to a *particular* permutation τ , yielding different orders for different τ .

Lemma 5.8. *Let $\tau, \tau' \in \text{Perm}(E^k)$ be endpoint-fixing permutations that synchronize in the first label. If $\tau' = g_\pi(\tau)$, then $M_{\tau'} = M_\tau \circ \pi^{-1}$.*

Proof. By Lemma 5.2, there is exactly one labeled edge $\pi(\alpha) \xrightarrow{e, f} \pi(\beta)$ of $(E_{\tau'}, \mathcal{L}_{\tau'})$ for each labeled edge $\alpha \xrightarrow{e, f} \beta$ of $(E_\tau, \mathcal{L}_\tau)$. This lifts to a label preserving bijection between the paths over $(E_\tau, \mathcal{L}_\tau)$ and the paths over $(E_{\tau'}, \mathcal{L}_{\tau'})$. Since the minimal emitted sequences depend only on the path labels, this implies that $M_\tau(\alpha) = M_{\tau'}(\pi(\alpha))$ for every $\alpha \in E_\tau^0$. \square

Let $(E_\tau, \mathcal{L}_\tau)$ be a permutation graph of level k that is synchronizing in the first label. For $u, v \in E^0$ let $O_{u \rightarrow v} = \{o_{u \rightarrow v}^i \mid 0 \leq i < |E_{u \rightarrow v}^{k-1}|\}$. Define

$$O^0 = \bigcup_{u, v \in E^0} O_{u \rightarrow v} \quad \text{and} \quad O^1 = \{\mu_0, \dots, \mu_{|E^k|-1}\}. \quad (4)$$

Since $(E_\tau, \mathcal{L}_\tau)$ is synchronizing in the first label, the orders of the vertices and edges of E_τ induced by the minimal emitted sequences are strict and total by Lemma 5.7. Hence, it is possible to define bijections $\omega_\tau^0: E_\tau^0 \rightarrow O^0$ and $\omega_\tau^1: O^1 \rightarrow E_\tau^1$ that number the permutation graph vertices and edges according to the ordering. Note that the edges are numbered globally while the vertices are ordered within each class such that the i^{th} element of $E_{u \rightarrow v}^{k-1}$ is mapped to $o_{u \rightarrow v}^i \in O_{u \rightarrow v} \subseteq O^0$.

Definition 5.9 (Ordered permutation graph). *Given an endpoint-fixing permutation $\tau \in \text{Perm}(E^k)$ that synchronizes in the first label, define its ordered permutation graph as the labeled graph $(O_\tau, \mathcal{L}_{O_\tau})$, where*

$$\begin{aligned} O_\tau^0 &= O^0 & s_{O_\tau} &= \omega_\tau^0 \circ s_\tau \circ \omega_\tau^1 \\ O_\tau^1 &= O^1 & r_{O_\tau} &= \omega_\tau^0 \circ r_\tau \circ \omega_\tau^1, \end{aligned}$$

and $\mathcal{L}_{O_\tau} = \mathcal{L}_\tau \circ \omega_\tau^1$.

In other words, $\omega_\tau^0(\alpha) \xrightarrow{e,f} \omega_\tau^0(\beta)$ is a labeled edge in $(O_\tau, \mathcal{L}_{O_\tau})$ precisely when $\alpha \xrightarrow{e,f} \beta$ is a labeled edge in $(E_\tau, \mathcal{L}_\tau)$. In particular, the ordered permutation graph $(O_\tau, \mathcal{L}_{O_\tau})$ presents the same shift space as $(E_\tau, \mathcal{L}_\tau)$. In fact, all mutually shift space equivalent permutations at the same level yield the same ordered permutation graph, as shown in the following proposition:

Proposition 5.10. *Let $\tau, \tau' \in \text{Perm}(E^k)$ be endpoint-fixing. If $(E_\tau, \mathcal{L}_\tau)$ and $(E_{\tau'}, \mathcal{L}_{\tau'})$ are synchronizing in the first label, then the following is equivalent to the statements given in Proposition 5.4:*

$$6. (O_\tau, \mathcal{L}_{O_\tau}) = (O_{\tau'}, \mathcal{L}_{O_{\tau'}}).$$

Proof. Proposition 5.4(2) \Rightarrow (6): Assume that $\tau' = g_\pi(\tau)$ for some $\pi \in \text{Perm}(E^{k-1})$. Then by Lemma 5.2, there is precisely one edge $\pi(\alpha) \xrightarrow{e,f} \pi(\beta)$ in $(E_{\tau'}, \mathcal{L}_{\tau'})$ for each edge $\alpha \xrightarrow{e,f} \beta$ of $(E_\tau, \mathcal{L}_\tau)$. By Definition 5.9, the edges of $(O_\tau, \mathcal{L}_{O_\tau})$ and $(O_{\tau'}, \mathcal{L}_{O_{\tau'}})$ are then respectively $\omega_\tau^0(\alpha) \xrightarrow{e,f} \omega_\tau^0(\beta)$ and $\omega_{\tau'}^0(\pi(\alpha)) \xrightarrow{e,f} \omega_{\tau'}^0(\pi(\beta))$ for each of the edges $\alpha \xrightarrow{e,f} \beta$ in $(E_\tau, \mathcal{L}_\tau)$. But $\omega_{\tau'}^0 \circ \pi = \omega_\tau^0$ by Lemma 5.8 and Definition 5.9, whereby $(O_\tau, \mathcal{L}_{O_\tau}) = (O_{\tau'}, \mathcal{L}_{O_{\tau'}})$.

(6) \Rightarrow Proposition (5.4)(1): Whenever τ is synchronizing in the first label, ω_τ^1 lifts to a label-preserving bijection between the paths over $(E_\tau, \mathcal{L}_\tau)$ and the paths over $(O_\tau, \mathcal{L}_{O_\tau})$, whence the language of the shift space presented by a permutation graph is the same as the language of the corresponding ordered permutation graph. The result then follows trivially. \square

Remark 5.11. *A construction analogous to the one presented in Section 3.6 allows the ordered permutation graph of $\lambda_\tau \circ \lambda_\eta$ to be computed directly from $(O_\tau, \mathcal{L}_{O_\tau})$ and $(O_\eta, \mathcal{L}_{O_\eta})$. This gives a straightforward way to find $\lambda_\tau \circ \lambda_\eta$ up to adjunction by a permutative unitary when λ_τ and λ_η are known up to adjunction by permutative unitaries. In this setting, the construction is simply a composition of the corresponding textile systems [24, p. 18], and this can be calculated as a matrix multiplication in an appropriate semiring. Tools from the theory of textile systems can hence be applied when studying products of permutative automorphisms, and efficient algorithms for this purpose will be examined in a forthcoming paper. In this paper, tools for automatic detection of automorphism order are presented as well.*

6 Finding outer permutative automorphisms

Because the number of equivalent automorphisms in a shift space equivalence class at level k is the same as the number of all endpoint-fixing permutations at level $(k-1)$, it is infeasible in practice to first construct all automorphisms before picking a canonical one from each class. The great benefit of the order introduced in the previous section is that it not only facilitates direct construction of a particular equivalence class, but also direct construction of collections of them.

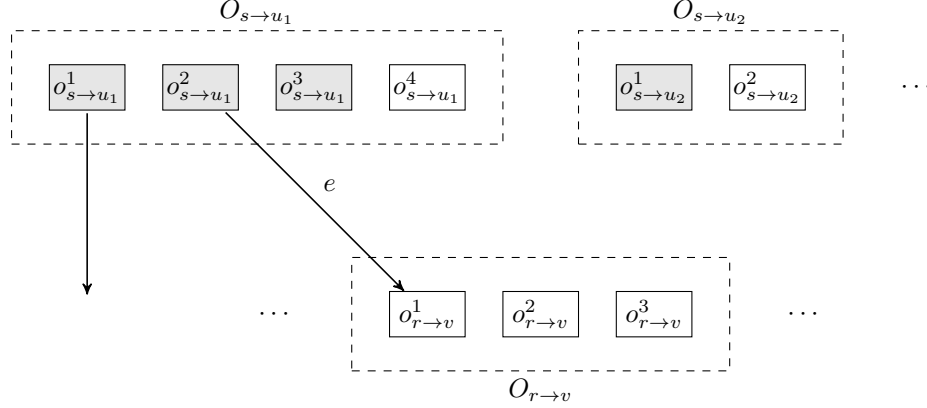


Figure 7: Restriction on the placement of edges caused by Definition 6.1 (1). Previously placed edges are as shown. The edge to be placed has first label $e: s \rightarrow r$ and range $o_{r \rightarrow v}^2$. The legal sources according to Definition 6.1(1) are marked with gray.

The following details the recursive construction of all shift space equivalence classes for the permutative automorphism at level k . The structure is similar to that of Equation (2), except that a third recursion layer is added to keep track of the numbering within each vertex class $O_{u \rightarrow v}$. In addition, edges are now placed in increasing order of minimal emitted sequences, incrementally defining the order at the same time as building the graph. To achieve this, each added edge μ to a partially completed ordered permutation graph \mathcal{G} is required to satisfy an extra ordering condition compared to Definition 4.2:

Definition 6.1. Let \mathcal{G} be a labeled graph with vertex set O^0 and edge set contained in O^1 . Let $e: s \rightarrow r$ and $f: q \rightarrow t$ be edges in E^1 and $\mu: o_{s \rightarrow q}^i \xrightarrow{e, f} o_{r \rightarrow t}^j$. The predicate $\text{valid}'(\mathcal{G}, \mu)$ is true if

1. In \mathcal{G} , $i \leq \min \{j \mid \text{outdeg}(o_{s \rightarrow q}^j) = 0\}$.
2. $\mathcal{G} \oplus \mu$ synchronizes in both labels.
3. $\mathcal{G} \oplus \mu$ obeys the properties of Proposition 4.1 when replacing $E_{u \rightarrow v}^{k-1}$ everywhere by $O_{u \rightarrow v}$.

Condition (1) is sketched in Figure 7.

In Equation (5), which is analogous to Equation (2), E^0 is enumerated as $\{v_0, \dots, v_{|E^0|-1}\}$, E^1 as $\{e_0, \dots, e_{|E^1|-1}\}$, and $O_{u \rightarrow v}$ is defined as in Equation (4). For each $0 \leq m \leq |E^1| - 1$, let r_m and s_m denote respectively the range and the source of e_m . Define three mutually recursive functions

Ψ_1 , Ψ_r , and Ψ_s by

$$\begin{aligned}\Psi_1(|E^1|, \mathcal{G}) &= \{\mathcal{G}\} \\ \Psi_1(m, \mathcal{G}) &= \Psi_r(m, 0, \mathcal{G}) \\ \Psi_r(m, |E^0|, \mathcal{G}) &= \Psi_1(m+1, \mathcal{G}) \\ \Psi_r(m, n, \mathcal{G}) &= \Psi_s(m, n, 0, \mathcal{G})\end{aligned}\tag{5}$$

$$\begin{aligned}\Psi_s(m, n, |E_{r_m \rightarrow v_n}^{k-1}|, \mathcal{G}) &= \Psi_r(m, n+1, \mathcal{G}) \\ \Psi_s(m, n, i, \mathcal{G}) &= \biguplus_{\substack{\mu_j : \beta \xrightarrow{e_m, f} o_{r_m \rightarrow v_n}^i \\ \text{valid}'(G, \mu_j)}} \Psi_s(m, n, i+1, \mathcal{G} \oplus \mu_j), \quad j = |G^1|\end{aligned}$$

The algorithm proceeds as follows: For each $e_m : s_m \rightarrow r_m$ in order, together with each range $o_{r_m \rightarrow v_n}^i \in O_{r_m \rightarrow v_n}$ in order, all possible ways of placing edges of the form $\mu_j : \beta \xrightarrow{e_m, f} o_{r_m \rightarrow v_n}^i$ that satisfy Definition 6.1 are tried, taking the disjoint union of the results from the recursion level below. It will be shown in the following that when \mathcal{G}_0 is the empty labeled graph with vertex set O^0 , $\Psi_1(0, \mathcal{G}_0)$ is exactly the set of ordered permutation graphs of every shift space equivalence class for permutative automorphisms at level k , and that each equivalence class is constructed exactly once.

Lemma 6.2. *Let $(G, \mathcal{L}) \in \Psi_1(0, \mathcal{G}_0)$ be a labeled graph constructed by the algorithm presented above. Let $\mu_i, \mu_{i'} \in G^1$ with labels $[e, f]$ and $[e', f']$, respectively. Then $i < i'$ if and only if one of the following three conditions holds:*

1. $e < e'$.
2. $e = e'$ and $r_E(f) < r_E(f')$.
3. $e = e'$, $r_E(f) = r_E(f')$ and $j < j'$ when $r_G(\mu_i) = o_{r_E(e) \rightarrow r_E(f)}^j$ and $r_G(\mu_{i'}) = o_{r_E(e) \rightarrow r_E(f)}^{j'}$.

Proof. It is easy to see from the structure of Equation (2) that the triplets (m, n, i) are visited in ascending lexicographic order, whereby edges are placed ordered first by first label e_m , then by $r_E(f) = v_n$, and lastly by the position i within $O_{r_m \rightarrow v_n}$. In case $er_E(f) = e'r_E(f')$, it follows that μ_i was placed before $\mu_{i'}$ if and only if the range of μ_i has a lower number than the range of $\mu_{i'}$ in $O_{r_E(e) \rightarrow r_E(f)}$. \square

For a labeled graph $\mathcal{G} = (G, \mathcal{L})$ constructed by the algorithm presented above, i.e. for a $\mathcal{G} \in \Psi_1(0, \mathcal{G}_0)$, the i^{th} edge $\mu_i \in G^1$ is said to be a *first edge* if there is no $j < i$ such that $s_G(\mu_j) = s_G(\mu_i)$. That is, μ_i was the first edge with source $s_G(\mu_i)$ added in the algorithmic construction. Clearly, there is precisely one first edge associated to each vertex.

Lemma 6.3. *Let $(G, \mathcal{L}) \in \Psi_1(0, \mathcal{G}_0)$, and let $\mu_i, \mu_{i'} \in G^1$ be first edges with labels $[e, f]$ and $[e', f']$, respectively. Assume that $s_E(e) = s_E(e')$ and $s_E(f) = s_E(f')$. Let $s_G(\mu_i) = o_{s(e) \rightarrow s(f)}^j$ and $s_G(\mu_{i'}) = o_{s(e) \rightarrow s(f)}^{j'}$. Then $i < i'$ if and only if $j < j'$.*

Proof. This follows immediately from Definition 6.1(1). \square

Lemma 6.4. *Let $(G, \mathcal{L}) \in \Psi_1(0, \mathcal{G}_0)$, let $o \in O^0$, and let $M = \mu_{i_1} \mu_{i_2} \cdots$ be the unique path with source o consisting only of first edges. Then M is the unique minimal emitted path of o .*

Proof. Let $o \in O^0$. By Definition 6.1(2), \mathcal{G} is synchronizing in the first label, so the minimal emitted path of o is unique by Lemma 5.7. Let $M' = \mu_{i'_1} \mu_{i'_2} \cdots$ be this minimal emitted path

Consider $\mu = \mu_{i_1}$ and $\mu' = \mu_{i'_1}$. Let $\mathcal{L}(\mu) = [e, f]$ and let $\mathcal{L}(\mu') = [e', f']$. Since M' is the minimal emitted path of o , $e' \leq e$. Since μ was the first edge added with source o , Lemma 6.2 implies $e \leq e'$ and hence $e = e'$. Similarly, $r_E(f') \leq r_E(f)$ because M' is a minimal emitted path, and Lemma 6.2 implies $r_E(f) \leq r_E(f')$, whereby $r_E(f') = r_E(f)$.

Furthermore, Lemma 6.2 implies that the number of $r_G(\mu)$ in $O_{r(e) \rightarrow r(f)}$ is lower than or equal to the number of $r_G(\mu')$. Hence, Lemma 6.3 implies that the first edge with source $r_G(\mu)$ was added before the first edge with source $r_G(\mu')$, i.e. $i_2 \leq i'_2$. Repeated applications of this argument proves that for any n , $\mathcal{L}_1(\mu_{i_1} \mu_{i_2} \cdots \mu_{i_n}) = \mathcal{L}_1(\mu_{i'_1} \mu_{i'_2} \cdots \mu_{i'_n})$. Since \mathcal{G} is synchronizing in the first label, this implies that $M = M'$. \square

Lemma 6.5. *Let $(G, \mathcal{L}) \in \Psi_1(0, \mathcal{G}_0)$, let $o, o' \in O^0$, and let their minimal emitted paths be $\mu_{i_1} \mu_{i_2} \cdots$ and $\mu_{i'_1} \mu_{i'_2} \cdots$, respectively. Then $o < o'$ in the order of minimal emitted sequences if and only if $i_1 < i'_1$.*

Proof. Assume $o \neq o'$. For each i , let $[e_i, f_i]$ and $[e'_i, f'_i]$ be the labels of μ_{i_j} and $\mu_{i'_j}$, respectively. Note that the edges μ_{i_j} and $\mu_{i'_j}$ are all first edges by Lemma 6.4. By Definition 6.1(2), \mathcal{G} is synchronizing in the first label, so by Lemma 5.7, the two minimal emitted sequences are different. Choose the minimal $l \in \mathbb{N}$ for which $e_l r_E(f_l) \neq e'_l r_E(f'_l)$. If $l = 1$, then Lemma 6.2 trivially implies the result, so assume that $l > 1$.

Assume that $i_1 < i'_1$. Since $e_1 r_E(f_1) = e'_1 r_E(f'_1)$, Lemma 6.2 implies that $r_G(\mu)$ has a strictly lower number in $O_{r_E(e) \rightarrow r_E(f)}$ than $r_G(\mu')$. Hence, Lemma 6.3 implies that $i_2 < i'_2$. Repeated applications of this argument leads to $i_l < i'_l$. Since $e_l r_E(f_l) \neq e'_l r_E(f'_l)$, it follows that Lemma 6.2 yields $e_l r_E(f_l) < e'_l r_E(f'_l)$.

For the converse implication, assume that the minimal emitted sequence of o is strictly smaller than the minimal emitted sequence of o' . Then $e_l r_E(f_l) < e'_l r_E(f'_l)$, so $i_l < i'_l$ by Lemma 6.2. Since $e_j r_E(f_j) = e'_j r_E(f'_j)$ for all $j < l$, Lemmas 6.2 and 6.3 yield $i_1 < i'_1$. \square

Corollary 6.6. *Let $(G, \mathcal{L}) \in \Psi_1(0, \mathcal{G}_0)$. The following hold:*

1. *For $o = o_{u \rightarrow v}^j, o' = o_{u \rightarrow v}^{j'} \in O_{u \rightarrow v}$, $o < o'$ in the order of minimal emitted sequences if and only if $j < j'$.*
2. *For $\mu_i, \mu'_i \in G^1$, $\mu_i < \mu'_i$ in the order of minimal emitted sequences if and only if $i < i'$.*

Proof.

1. Let μ_i and $\mu_{i'}$ be the first edge emitted by respectively o and o' . By Lemma 6.4 and Lemma 6.5, $o < o'$ if and only if $i < i'$. Since μ_i and $\mu_{i'}$ are first edges, the result then follows from Lemma 6.3.
2. This follows from Lemma 6.2 and the previous statement.

\square

Theorem 6.7. *Let \mathcal{G}_0 be the labeled graph with vertex set O^0 and no edges. $\Psi_1(0, \mathcal{G}_0)$ is the set of ordered permutation graphs corresponding to the shift space equivalence classes for every permutative automorphism at level k . Furthermore, each ordered permutation graph is constructed only once.*

Proof. Let $\mathcal{G} \in \Psi_1(0, \mathcal{G}_0)$ be a labeled graph constructed by the algorithm. The aim is to show that \mathcal{G} is an ordered permutation graph. By an argument analogous to the one used in the proof of Theorem 4.4, Definition 6.1(3) implies that any assignment of the elements of $E_{u \rightarrow v}^{k-1}$ to $O_{u \rightarrow v}$ will map \mathcal{G} to a permutation graph. Furthermore, by Definition 6.1(2), the resulting permutation graph is synchronizing in both labels and hence corresponds to an automorphism. By Corollary 6.6, the vertices and edges of \mathcal{G} are ordered correctly, and consequently \mathcal{G} is an ordered permutation graph for an automorphism.

Next, let $\mathcal{G} = (G, \mathcal{L})$ be an ordered permutation graph, corresponding to the shift space equivalence class for a permutative automorphism. The aim is to show that $\mathcal{G} \in \Psi_1(0, \mathcal{G}_0)$. Given $0 \leq j \leq |G^1|$, let \mathcal{G}_j denote the subgraph of \mathcal{G} obtained by including precisely the first j edges of G^1 in the order of minimal emitted sequences. Note that for $j > 0$, the j edges in \mathcal{G}_j are μ_0, \dots, μ_{j-1} . The following proves by induction that for each j , the subgraph \mathcal{G}_j is constructed by an intermediate step of the algorithm. Note that the algorithm starts from \mathcal{G}_0 .

For the induction step, assume that \mathcal{G}_j has been constructed at an intermediate step. Let $\mu_j \in G^1$ with $\mu_j: o_{s \rightarrow u}^l \xrightarrow{e_m, f} o_{r \rightarrow v_n}^i$. Consider another edge $\mu_{j'} \in G^1$ for which $\mu_{j'}: o_{s' \rightarrow u'}^{l'} \xrightarrow{e_{m'}, f'} o_{r' \rightarrow v_{n'}}^{i'}$. Since \mathcal{G} is an ordered permutation graph, $j' < j$ if and only if $(n', m', i') < (n, m, i)$ lexicographically. Specifically, the edges in \mathcal{G}_j are precisely the $\mu_{j'} \in G^1$ for which $(n', m', i') < (n, m, i)$. Hence, the next step in the algorithm, following the construction of \mathcal{G}_j will add an edge with the same first label and range as μ_j . The specific edge μ_j can be added by the algorithm if and only if (\mathcal{G}_j, μ_j) satisfies Definition 6.1. Since \mathcal{G} is an ordered permutation graph, (\mathcal{G}_j, μ_j) satisfies Definition 6.1 (2) and (3).

It remains to be shown that (\mathcal{G}_j, μ_j) satisfies Definition 6.1 (1), i.e. that $o_{s \rightarrow u}^l$ is a valid source in the construction. Assume there exists a $o_{s' \rightarrow u}^{l'}$ with $l' < l$ (the condition is trivially satisfied if no such l' exists). Since \mathcal{G} is an ordered permutation graph, $o_{s' \rightarrow u}^{l'} < o_{s \rightarrow u}^l$ in the order of minimal emitted sequences. Hence, there is an edge $\mu_{j'} \in G^1$ with source $o_{s' \rightarrow u}^{l'}$ and $j' < j$, so $o_{s' \rightarrow u}^{l'}$ emits at least one edge in \mathcal{G}_j . Consequently, (\mathcal{G}_j, μ_j) satisfies Definition 6.1 (1), whereby $\mathcal{G}_j \oplus \mu_j = \mathcal{G}_{j+1}$ can be constructed by an intermediate step of the algorithm. Because $\mathcal{G} = \mathcal{G}_{|G^1|}$, the procedure terminates, and the desired result follows.

Let \mathcal{G} be an ordered permutation graph. To see that the algorithm does not construct \mathcal{G} twice, note that it places edges in ascending order. Given a subgraph \mathcal{G}_j as above, there is precisely one way to place the next edge to obtain \mathcal{G}_{j+1} . \square

7 Example: Constructing automorphisms

Consider the graph E shown in Figure 8. In this section, the techniques developed in the previous sections will be used to investigate the permutative endomorphisms and automorphisms of $C^*(E)$. For each k , there are clearly $3 \cdot 2^k$ paths of length k in E . For small values of k , Table 1 summarizes the number of paths, permutative endomorphisms, permutative automorphisms, and classes of permutative automorphisms equivalent up to adjunction by permutative unitaries as described in Proposition 5.10. The numbers in the first three columns are easily computed, while the last two columns require finding all the automorphisms. Notice the rapid combinatorial growth with

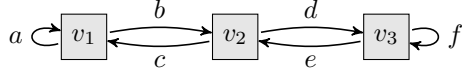


Figure 8: The graph E considered in Section 7.

k	Paths	Endomorphisms	Automorphisms	Classes
1	6	1	1	1
2	12	$(2! \cdot 1! \cdot 1!)^3 = 8$	2	2
3	24	$(2! \cdot 3! \cdot 3!)^3 = 373,248$	32	4
4	48	$(5! \cdot 5! \cdot 6!)^3 \approx 1.1 \cdot 10^{21}$	454,989,312	1,219
5	96	$(10! \cdot 11! \cdot 11!)^3 \approx 1.9 \cdot 10^{65}$?	?

Table 1: Number of paths of length k , permutative endomorphisms, permutative automorphisms, and order classes of permutative automorphisms for small values of k .

k . Note also that the quotient of the numbers in columns 4 and 5 is the number of permutative endomorphisms of the previous level, as can be understood from Proposition 5.4.

At levels $k = 1$ and $k = 2$, it is possible to construct the ordered permutation graphs by hand using the algorithms and tools given above. At level $k = 3$, there are too many permutative endomorphisms to check by hand, however, it would be possible to test all the permutative endomorphisms individually using a direct brute force computer program, but it would be time consuming. Using the algorithm from Section 6, the search is completed instantaneously. At level $k = 4$, there are so many endpoint-fixing permutations that the problem cannot be handled by brute force. Even assuming that the automorphism condition can be tested in a microsecond, considering all permutative endomorphisms individually would take 35 million years. However, combining the methods presented in this paper, it is possible to reduce the problem to a tractable one: Constructing representations of the equivalence classes directly using the methods of Section 5 reduces the number of graphs to consider by a factor 373,248 from $1.1 \cdot 10^{21}$ to $3.0 \cdot 10^{15}$, so – under the same assumption as above – investigating each class would take about 100 years. However, the pruning of the search tree built into the algorithm presented in Section 6 means that we are able to complete the exhaustive search for automorphisms classes in minutes, and this investigation reveals that there are 1219 distinct equivalence classes.

There is, however, no way to avoid the combinatorial growth of the problem: At level $k = 5$, the number of permutative endomorphism classes is $6 \cdot 10^{28}$ times greater than at level $k = 4$, and hence, we expect the number of automorphism classes to be so large that it is impossible to generate all of them. For level $k = 5$ and beyond, we are thus forced to restrict our attention to interesting subsets. The following sections give detailed discussions of the automorphisms found in the levels $k = 1$ through $k = 5$.

Levels $k = 1$ and $k = 2$

Clearly, the identity is the only endpoint-fixing permutation at level $k = 1$, and it is straightforward to check by hand that it induces an automorphism. At level $k = 2$, there are precisely two

permutative automorphisms. They are given by the following two endpoint-fixing permutations of E^2 :

$$\tau_{2,0} = \text{Id} \quad , \quad \tau_{2,1} = (de, cb).$$

Level $k = 3$

At this level, there are four different equivalence classes of permutative automorphisms. Representatives of these classes are given by the following endpoint-fixing permutations of E^3 :

$$\begin{aligned} \tau_{3,0} &= \text{Id} \\ \tau_{3,1} &= (dfe, cab) \\ \tau_{3,2} &= (bde, bcb)(ede, ecb) \\ \tau_{3,3} &= (bde, bcb)(dfe, cab)(ede, ecb) \end{aligned}$$

Each class contains 8 permutative automorphisms, since every endpoint-fixing permutation from the previous level gives a way to permute the labels of the permutation graph resulting in a presentation of a permutative automorphism that is inner equivalent to the original one as described in Proposition 5.10. Note that $\tau_{3,1}$ induces an automorphism inner equivalent to $\tau_{2,1}$. In general, each permutative automorphism at level k will give rise to inner equivalent permutative automorphism at level $k + 1$ in this way.

Level $k = 4$

At this level, there are 1219 classes of permutative automorphisms, which is too many to list. As an example, consider the permutation

$$\tau_4 = (abde, bdfe, bcab)(ecab, edfe).$$

This gives a permutative automorphism that occurs at this level without being equivalent to one occurring at a of lower level. This permutative automorphism is of interest because it has infinite order, unlike the permutative automorphisms at levels 1 through 3.

Level $k = 5$

As mentioned above, it is not feasible to find all permutative automorphisms at level $k = 5$ using the methods developed in this paper. However, by restricting to automorphisms with certain properties, it is possible to find interesting automorphisms by brute force. Define

$$T_1 = S_a + S_b + S_d \quad \text{and} \quad T_2 = S_c + S_e + S_f.$$

Then it is straightforward to check that T_1, T_2 generate a unital copy of \mathcal{O}_2 inside $C^*(E)$. The aim of the following will be to identify permutative automorphisms of $C^*(E)$ that fix T_2 , and that send T_1 to a sum of words in T_1, T_2, T_1^*, T_2^* . Such a permutative automorphism of $C^*(E)$ will naturally induce an automorphism of \mathcal{O}_2 . However, even with this restriction, there are too many possibilities to check by brute force. To counter this, we restrict to permutations that fix any path (of length 5) starting with c, e or f (i.e. the induced automorphism of \mathcal{O}_2 fixes all paths starting with the second edge). This restricts the problem to an investigation of $5! \cdot 10! = 435456000$ permutations, and

Permutation τ	Orders of induced automorphisms					
	ϕ_τ	$\phi_{(a,b)}\phi_\tau$	$\phi_{(a,c)}\phi_\tau$	$\phi_{(b,c)}\phi_\tau$	$\phi_{(a,b,c)}\phi_\tau$	$\phi_{(a,c,b)}\phi_\tau$
Id	1	2	2	2	3	3
(ca, cb)	2	2	∞	∞	∞	∞
$(bb, bc)(cb, cc)$	2	∞	∞	2	∞	∞
$(bb, cb, ca)(bc, cc)$	∞	∞	∞	∞	∞	∞
$(bb, cb)(bc, cc)$	2	∞	∞	2	∞	∞
$(bb, cc)(bc, cb)$	2	∞	∞	2	∞	∞
(ba, bc)	2	∞	2	∞	∞	∞
$(ba, cc, bc)(bb, cb)$	∞	∞	∞	∞	∞	∞
(ac, bc, ba)	∞	∞	∞	∞	∞	∞
$(ac, cc, bc, ca, ba)(bb, cb)$	∞	∞	∞	∞	∞	∞
$(ac, bc, bb)(cb, cc)$	∞	∞	∞	∞	∞	∞
$(ac, cc, bb)(ba, ca)(bc, cb)$	∞	∞	∞	∞	∞	∞
(ac, bc)	2	2	∞	∞	∞	∞
$(ac, bc)(ca, cb)$	2	2	∞	∞	∞	∞
$(ac, cc, bc)(ba, ca)(bb, cb)$	∞	∞	∞	2	∞	2
$(ac, cc, bc)(ba, ca, bb, cb)$	∞	∞	∞	2	∞	2

Table 2: Permutations that induce distinct outer permutative automorphisms at level 2 in \mathcal{O}_3 , and the orders of the 96 shift space automorphisms. The first column lists the 16 permutations that induce distinct automorphisms of \mathcal{O}_3 up to inner equivalence and graph automorphism. For each such τ , its row lists the orders of the 6 shift space automorphisms obtained by composing ϕ_τ with the shift space automorphisms induced by graph automorphisms, i.e., the 6 permutations of the three edges in the graph for \mathcal{O}_3 . The orders are computed using the techniques mentioned in Remark 5.11.

this number is sufficiently small to be handled by brute force. This investigation gives 12 distinct \mathcal{O}_2 -preserving permutative automorphisms that fix all paths starting with c , e or f . As an example, two of these are:

$$\tau_5 = (abcbc, abdec, bdfec)(abdfc, bdfce, bdede)(abdfc, bdfce, bdede)$$

and

$$\tau'_5 = (aaabc, abdec, abcbc, bdfec)(aabde, abdfc, bdede, bdfce)(aabdf, abdfc, bdedf, bdfce).$$

Evidence suggests that ϕ_{τ_5} has infinite order while $\phi_{\tau'_5}$ can be proved to be of order 60. General tools for examining the order of permutative automorphisms will be examined in the forthcoming paper mentioned in Remark 5.11.

8 Example: Automorphisms of \mathcal{O}_n

The permutative automorphisms of \mathcal{O}_n have already been investigated experimentally in [5, 9], and it is straightforward to use the algorithms presented in Sections 4 and 6 to verify the numbers of permutative automorphisms of \mathcal{O}_n and classes of such automorphisms found in these two papers. It

is worth noting that the algorithms presented in the present paper yield significant improvements over the specialized approaches used in the previous investigations. First of all, the methods presented here can be applied to a wide range of interesting graph algebras beyond the Cuntz algebras. Secondly, they are much faster than the previously used methods. For instance, the original identification of the automorphism classes at level 2 in \mathcal{O}_4 took approximately 70 days of computation on a server [9] while our methods were able to deliver the same result after two seconds of computation on a standard laptop, an improvement by a factor of more than two million.

As an example of the results achieved in this way, consider the permutative automorphisms at level 2 in \mathcal{O}_3 . In this case, there are 96 outer permutative automorphisms, but the entire collection can be reconstructed from the 16 permutations listed in Table 2 by composing with the graph automorphisms of the original graph, i.e. the $3! = 6$ permutations of the three edges. As in the previous example, the techniques mentioned in Remark 5.11 were used to investigate the orders of these permutative automorphisms, and the results of this investigation are listed in Table 2. The exhaustive search for outer permutative automorphisms at level 2 in \mathcal{O}_3 required 2ms of computation time, and the automorphism orders took 60ms in total to calculate.

In spite of the drastic improvement in the speed of the computations achieved through the techniques developed in this paper, it may still not be feasible to extend the investigation of the Cuntz algebras from [5, 9] to larger values of n , or to higher levels, due to the violent combinatorial growth of the problem.

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